

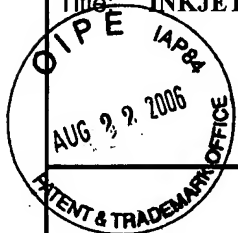
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**03058**

In Re Application Of: **Alexander I. Shakhnovich**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/689,268	October 20, 2003	Faison, Veronica F.		1755	2890

Title: **INKJET INKS AND METHODS OF PREPARING THE SAME**



Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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10/689,268	October 20, 2003	Faison, Veronica F.		1755	2890

Title: **INKJET INKS AND METHODS OF PREPARING THE SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 03-0060 as described below.
- ☒ Charge the amount of **\$180.00**
- ☐ Credit any overpayment.
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- ☐ Payment by credit card. Form PTO-2038 is attached.

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\_\_\_\_\_  
Signature

Dated: **August 22, 2006**

**Robert M. Amici**  
**Reg. No. 52,554**  
**Cabot Corporation**  
**Law Department**  
**157 Concord Road**  
**Billerica, MA 01821**

CC:



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexander I. Shakhnovich

Group Art Unit: 1755

Application No.: 10/689,268

Examiner: Faison, Veronica F.

Filed: April 16, 2003

Confirmation No.: 2890

For: **INKJET INKS AND METHODS OF PREPARING THE SAME**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

***Section 1. Preliminary Statements***

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the patentability of the above-identified application and in respect of which there may be a duty to disclose. These references also include a copy of the International Search Report and Written Opinion for the corresponding International Application No. PCT/US2004/034328, as well as the cited references not already submitted.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,



Date: August 22, 2006

Robert M. Amici  
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157 Concord Road  
Billerica, MA 01821-7001  
(978) 670-6191

03058-IDSletter

**FORM PTO-1449** **U.S. DEPARTMENT OF COMMERCE**  
(Modified) **PATENT AND TRADEMARK OFFICE**

**ATTY DOCKET NO.****SERIAL NO.**

03058

10/689,268

**APPLICANT**

Alexander I. Shakhnovich

**FILING DATE**

November 20, 2003

**GROUP**

1755

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use Several Sheets if necessary)

CFR 1.98(b))

**U.S. PATENT DOCUMENTS**

Examiner Initials	*	Patent or Publication No.	Date	Patentee	Class	Subclass	Filing Date If Appropriate
		3,725,101	4/73	Kuhne et al.	106	288Q	
		3,759,731	9/73	Kuhne et al.	106	288Q	
		3,759,733	9/73	Gordon, et al.	106	309	
		4,334,932	6/82	Roueché	524	191	
		4,474,609	10/84	Ehl et al.	106	288Q	
		5,062,894	11/91	Schwartz et al.	106	23	
		5,145,997	9/92	Schwartz et al.	564	158	
		5,559,216	9/96	Jung et al.	534	579	
		6,136,087	10/00	Kapoor	106	496	
		2001/0029870	10/01	Uemura et al.	106	31.65	
		6,451,103	9/02	Uemura et al.	106	493	
		6,692,559	2/04	Ishigami et al.	106	31.8	

**FOREIGN PATENTS, DOCUMENTS, OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
		1 356 253	6/74	GB	C09B	67/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		2 356 866	6/01	GB	C09B	33/04	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		0 546 857	12/92	EP	C09B	69/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		1 316 588	6/03	EP	C09B	67/22	<input type="checkbox"/>	<input checked="" type="checkbox"/>
		1 666 547	6/06	EP	C09D	11/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	International Search Report and Written Opinion for International Application No. PCT/US04/034328.
	JP 05-070725 to Dainichiseika Color and Chem. Mfg. Co. LTD, 3/23/93
	Abstract only (from Patent Abstracts of Japan)
	JP 2002-338845 to Toyo Ink Mfg. Co. LTD, November 27, 2002
	Abstract only (from Patent Abstracts of Japan)

**EXAMINER****Date Considered**

EXAMINER: Initial if reference is considered,  
whether or not citation is in conformance with MPEP  
609. Draw line through citation not considered.  
Include copy of this form with next communication.

\* A copy of this reference is not provided with this response as it was  
previously submitted to the office in a prior application, Serial No.  
, filed , and relied upon for an earlier filing date under 35  
U.S.C. 120.

